

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.97(b), (c), or (d)

Docket No.

YOR920030519US1

In re Application of: Rouvellou, et al.

Serial No.
10/725,352Filing Date
12-01-2003

Examiner

Group Art Unit
2121Title: METHODS AND APPARATUS FOR BUSINESS RULES AUTHORIZING AND OPERATION
EMPLOYING A CUSTOMIZABLE VOCABULARYAddress to:
Commissioner for Patents
Alexandria, VA 22313-1450

NOV 04 2004

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

3. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

4. Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Kin-Wah Tong, Esq.
Reg. No. 39,400Moser, Patterson & Sheridan, LLP
Attorneys at Law
595 Shrewsbury Avenue, Suite 100
Shrewsbury, New Jersey 07702
732-530-9404

Dated: 11/1/04

Certificate of Mailing by First Class Mail

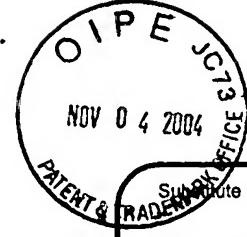
I certify that this document is being deposited on 11/1/04 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Linda LaForge

Signature of Person Mailing Correspondence

Linda LaForge

Typed or Printed Name of Person Mailing Correspondence



NOV 04 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Supplementary Information for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

TRADEMARK Subject to form 1449A/PTO		<i>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</i>												
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known												
Sheet	1	of	2	Attorney Docket Number										
				YOR920030519US1										
<table border="1"> <tr> <td>Application Number</td> <td>10/725,352</td> </tr> <tr> <td>Filing Date</td> <td>December 1, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Rouveliou et al.</td> </tr> <tr> <td>Art Unit</td> <td>2121</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> </table>					Application Number	10/725,352	Filing Date	December 1, 2003	First Named Inventor	Rouveliou et al.	Art Unit	2121	Examiner Name	
Application Number	10/725,352													
Filing Date	December 1, 2003													
First Named Inventor	Rouveliou et al.													
Art Unit	2121													
Examiner Name														

U.S. PATENT DOCUMENTS

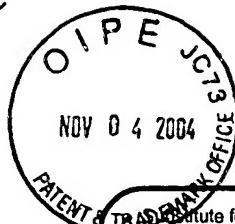
FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Institute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

2

Complete if Known	
Application Number	10/725,352
Filing Date	December 1, 2003
First Named Inventor	Rouveliou et al.
Art Unit	2121
Examiner Name	
Attorney Docket Number	YOR920030519US1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	C1	BINDIGANAVALE, et al., "Dynamically Altering Agent Behaviors Using Natural Language Instructions", International Conference on Autonomous Agents 2000, pp 293-300, 2000.		
	C2	"BRSOLUTIONS.COM Business Rule Solutions – The Business Rule Technique Company", Business Rule Solutions, www.brsolutions.com/ruletrack.shtml, © 1997-2003 Business Rule Solutions, LLC, date unknown, pp 1-2, printed October 28, 2004.		
	C3	"ILOG: Business rule management, business rules, optimization and visualization software components", ILOG, www.ilog.com, © 1987-2004 ILOG, Inc., date unknown, pp 1-2, printed October 28, 2004.		
	C4	"Blaze Advisor", FairIsaac, www.blazesoft.com, © 2004 Fair Isaac Corporation, date unknown, pp 1-2, printed October 28, 2004.		
	C5	"Versata > Business-Rules-Based Application Development", Versata, www.versata.com, © 2004 Versata, Inc., date unknown, pp 1-2, printed October 28, 2004.		
	C6	"XML rule engine – QuickRules", YASU Technologies, www.yasutech.com/products/quickrules/features.htm, © 2002-2004, YASU Technologies, date unknown, pp 1-3, printed October 28, 2004.		
	C7	"Haley Systems" haley, www.haley.com/2040696604268563/THE.html, © 1994-2004 Haley Systems, Inc., date unknown, page 1, printed October 28, 2004.		
	C8	"Introduction to Controlled language", MAXit, www.linga.fr/LingEn/Lanconen.htm, © 1999, Linga s.a.r.l., date unknown, pp 1-5, printed October 28, 2004.		

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.